## **GEMSTONE**

## **GEMSTONE INVESTMENTS LTD**

14th October, 2016.

To,
Listing Compliances,
BSE Limited,
P.J. Towers,
Fort.Mumbai – 400001.

Scrip code: 531137; Scrip Id: GEMSI

Sub: Compliance of Regulation 13(3) of SEBI( Listing Obligations and Disclosure) Regulations, 2015.

Dear Sir/Ma'am,

Pursuant to regulation 13(3) of Securities Exchange Board of India (Listing Obligations and Disclosure) Regulations, 2015. We hereunder submit the statement of the investor complaints for quarter ended 30<sup>th</sup> September, 2016.

Particulars of Complaints	No. of Complaints
Pending at the Beginning of the quarter	Nil
Received During the quarter	Nil
Disposed off during the quarter	Nil
Remaining and unresolved Complaints after completion of the quarter	Nil

Further Attached herewith is the certificate as issued as issued by the RTA i.e. Sharex Dynamic (India) Pvt. Ltd with respect to Investor Complaints for your perusal.

Pursuant to Regulation 13(4), the above statements will also be placed before the Board of Directors at its next meeting.

Kindly take the same on record.

Thanking you.

Yours faithfully,

For Gemstone Investments Limited

Dhara Brahmbhatt Managing Director

DIN: 06433270





## CERTIFICATE



## GEMSTONE INVESTMENTS LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS REPORT FOR THE PERIOD : 01-07-2016 TO 30-09-2016

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	=3	-	-	-	S <del>E</del>
3	DELAY/ NON-RECEIPT IN ISSUE OF DUPLICATE SHARES.	=	-	-	-		-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-		-	-	-	Ξ	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	7-	00	-	-	-	-	5
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-		-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	
9	MANIPULATION IN THE ACCOUNTS STATEMENTS.	-	-	-	-	1-1	:-	5-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-5	-	:=
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	NIL COMPLAINT RECEIVED	0	0	c	0	0	0	0

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)

GEMSTONE INVESTMENTS LTD.

have us

DIRECTOR / AUTHORISED SIGNATORY