## **GEMSTONE**

## GEMSTONE INVESTMENTS LTD

19th July, 2017

To
Listing Compliances
BSE Limited,
P. J. Towers,
Fort,
Mumbai – 400 001.

Security Code: 531137 Security ID: GEMSI

Sub: Compliance under regulation 13(3) of Securities Exchange Board of India (Listing Obligations and Disclosure) Regulations, 2015.

Dear Sir/Ma'am,

Pursuant to regulation 13(3) of Securities Exchange Board of India (Listing Obligations and Disclosure) Regulation, 2015, we hereby submit the statement of Investor Grievance Certificate for the quarter ended 30<sup>th</sup> June, 2017.

Particulars of Complaints	No. of Complaints		
Pending at the Beginning of the quarter	0		
Received During the quarter	1		
Disposed off during the quarter	1		
Remaining and unresolved Complaints after completion of	0		
the quarter			

Enclosed herewith is the certificate as issued by RTA i.e. Sharex Dynamic (India) Pvt. Ltd with respect to Investor Complaints for your perusal.

Kindly take same on your record.

Thanking you.

For Gemstone Investments Limited

Dhara Brahmbhatt Managing Director

DIN: 06433270



## CERTIFICATE



## GEMSTONE INVESTMENTS LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS REPORT FOR THE PERIOD : 01-04-2017 TO 30-06-2017

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.			1		-		-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-		-	-	-	-
3	DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.	-	-		-	-		
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	7	-	-	-	
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	- (		-		-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-			-	-	-	
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-		-	-
9	MANIPULATION IN THE ACCOUTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-			-	-	-	
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	
	NIL COMPLAINT RECEIVED	0	0	0	0	0	0	C

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)

GEMSTONE INVESTMENTS LTD.

DIRECTOR / AUTHORISED SIGNATORY

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