

18<sup>th</sup> January, 2017

To,  
Listing Compliance  
**BSE Limited**  
P.J. Towers,  
Fort,  
Mumbai - 400 001.

**Security Code: 531137**

**Security ID: GEMSI**

**Sub: Compliance of regulation 13(3) of Securities Exchange Board of India (Listing Obligations and Disclosure) Regulations, 2015.**

Dear Sir/Ma'am,

With reference to above captioned subject and pursuant to SEBI (LODR) Regulations, 2015, please find enclosed herewith the statement of investor Complaints for the quarter ended 31<sup>st</sup> December, 2016 provided by our Registrar and Transfer Agent.


<b>Particulars of complaints</b>	<b>Quarter ended 31<sup>st</sup> December, 2016</b>
Pending at the Beginning of the quarter	Nil
Received During the quarter	Nil
Disposed of during the quarter	Nil
Remaining and unresolved Complaints after completion of the quarter	Nil

Further Attached herewith is the certificate as issued as issued by the RTA i.e. Sharex Dynamic (India) Pvt. Ltd with respect to Investor Complaints for your perusal.

Thanking you.

Yours faithfully,

**For Gemstone Investments Limited**

  
**Dhara Brahmhatt**  
**Managing Director**  
**DIN: 06433270**



**GEMSTONE INVESTMENTS LIMITED**

CIN: L65990MH1994PLC081749

Registered Office: 502-B, Padmavati Heights, 5<sup>th</sup> Floor, Shradhdhanand Road Ext., Vile Parle (East), Mumbai - 400 057

Tel: 022-65102060. E-mail: [gemstoneltd@gmail.com](mailto:gemstoneltd@gmail.com)



# CERTIFICATE



JAS-ANZ



5143409912

AN ISO 9001-2008 COMPANY

## GEMSTONE INVESTMENTS LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-10-2016 TO 31-12-2016

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	-	-	-	-	-
3	DELAY/ NON-RECEIPT IN ISSUE OF DUPLICATE SHARES.	-	-	-	-	-	-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	-	-	-	-	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUNTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	<b>NIL COMPLAINT RECEIVED</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)

GEMSTONE INVESTMENTS LTD.

DIRECTOR / AUTHORISED SIGNATORY